



PTO/SB/08A (10-01)

Approved for use through 10/31/2002. OMB 0651-0031

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Institute for form 1449A/PTO <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> (use as many sheets as necessary)				<b>Complete if Known</b>	
				Application Number	10/700,201
				Filing Date	November 3, 2003
				First Named Inventor	Wu et al.
				Art Unit	To Be Assigned
				Examiner Name	To Be Assigned
Sheet	1	of	2	Attorney Docket Number	19603/4301 (CRF D-3082-03)

U.S. PATENT DOCUMENTS					
Examiner Initials <sup>1</sup>	Cite No. <sup>1</sup>	U.S. Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code <sup>2</sup> (if known)			
BP ↓ ↓ ↓ ↓ ↓ ↓ ↓ ↓ ↓ ↓ ↓ ↓	1	US-5,981,842	11/09/1999	Wu et al.	
	2	US-6,429,357	08/06/2002	McElroy et al.	
	3	US-5,641,876	06/24/1997	McElroy et al.	
	4	US-5,684,239	11/04/1997	Wu et al.	
	5	US-2003/0009784 A1	01/09/2003	Lebel et al.	
	6	US-5,352,605	10/04/1994	Fralet et al.	
	7	US-6,174,724	01/16/2001	Rogers et al.	
	8	US-5,273,894	12/28/1993	Strauch et al.	
	9	US-5,637,489	06/10/1997	Strauch et al.	
	10	US-5,276,268	01/04/1994	Strauch et al.	
	11	US-6,130,368	10/10/2000	Londesborough et al.	
	12	US-6,323,001	11/27/2001	Londesborough et al.	
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FOREIGN PATENT DOCUMENTS						
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		Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)				
BP	13	WO 99/66785	12/29/1999	WIPO		
BP	4	WO 00/00601	01/06/2000	WIPO		

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<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at 222.uspto.gov or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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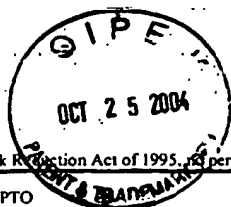
Substitute for form 1449B/PTO				<b>Complete if Known</b>	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> (use as many sheets as necessary)				Application Number	10/700,201
				Filing Date	November 3, 2003
				First Named Inventor	Wu et al.
				Group Art Unit	To Be Assigned
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Sheet	2	of	2	Attorney Docket Number	19603/4301 (CRF D-3082-03)

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
BP ↓ ↓ ↓ ↓ ↓ ↓ ↓ ↓ ↓ ↓	15	Holmstrom et al., "Drought Tolerance in Tobacco," <u>Nature</u> , 379:683-684 (1996)	
	16	Nuccio et al., "Metabolic Engineering of Plants for Osmotic Stress Resistance," <u>Current Opinion in Plant Biotechnology</u> , 2:128-134 (1999)	
	17	Romero et al., "Expression of the Yeast <i>Trehalose-6-Phosphate Synthase</i> Gene in Transgenic Tobacco Plants: Pleiotropic Phenotypes Include Drought Tolerance," <u>Planta</u> , 201:293-297 (1997)	
	18	Sakamoto et al., "Metabolic Engineering of Rice Leading to Biosynthesis of Glycinebetaine and Tolerance to Salt and Cold," <u>Plant Molecular Biology</u> , 38:1011-1019 (1998)	
	19	Goddijn et al., "Inhibition of Trehalase Activity Enhances Trehalose Accumulation in Transgenic Plants," <u>Plant Physiol.</u> , 113:181-190 (1997)	
	20	Rontein et al., "Metabolic Engineering of Osmoprotectant Accumulation in Plants," <u>Metabolic Engineering</u> , 4:49-56 (2002)	
	21	Goodijn et al., "Trehalose Metabolism in Plants," <u>Elsevier Science</u> , 4(8):315-319 (1999)	
	22	Pilon-Smits et al., "Trehalose-Producing Transgenic Tobacco Plants Show Improved Growth Performance Under Drought Stress," <u>Journal of Plant Physiology</u> , 152:525-532 (1998)	
	23	Xiuyu et al., "Expression of <i>otsA</i> Gene In Tobacco and Improvement Sress Tolerance," <u>Acta Microbiologica Sinica</u> , 41(4):427-431 (2001) (English Abstract)	

Examiner Signature	/Brent Page/	Date Considered	06/21/2006
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		Application Number	10/700,201		
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BP	1	US-6,133,034	10/17/2000	Strom et al.	
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BP	2	WO 99/46370 A2	09/16/1999	WIPO		
BP	3	WO 01/64850 A1	09/07/2001	WIPO		

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BP	4	Su et al., "Dehydration-Stress-Regulated Transgene Expression in Stably Transformed Rice Plants," <i>Plant Physiology</i> 117(3):913-922 (1998)	

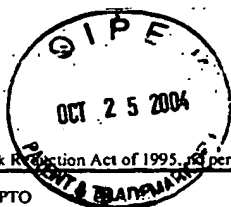
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